# High-Performance Q-Band MMIC Phase Shifters Using InGaAs PIN Diodes

Munho Kim · Jung Gil Yang · Kyounghoon Yang

#### Abstract

This paper presents the design and implementation of Q-band MMIC phase shifters using InGaAs PIN diodes. The topology using a thin-film microstrip line(TFMS) has been proposed to achieve the desired phase-shift as well as good loss characteristics. Five single-bit MMIC phase shifters have been implemented by using a developed BCB(benzocyclobutene)-based multi-layer fabrication technology. The developed phase shifters have less than 3.4 dB of insertion loss and better than 11 dB of input and output return loss in the frequency range of 43 to 47 GHz. To the authors' knowledge, this is the first demonstration of high-performance InGaAs PIN diode-based MMIC phase shifters operating at Q-band frequencies.

Key words: Phase Shifter, TFMS, BCB, InGaAs PIN Diode.

# I. Introduction

Recently, the interests in phased array antenna systems have extensively increased owing to the applications of satellite communication and military surveillance<sup>[1]</sup>. In the phased array systems, microwave/millimeter-wave phase shifters are the key components which control the phase of signal to change the direction of the radiated beam. Many of these systems require tens of thousands of phase shifter MMICs. Accordingly, it is essential to obtain high performance with small chip size. For this purpose, research with various materials and device technologies has been conducted over the past few decades<sup>[1]~[5]</sup>. In GaAs pHEMT technologies, there have been limitations in its commercialization due to high cost<sup>[5]</sup>. In silicon MOS-FET technologies, the continual scaling down of the device geometries enables its operating frequency to reach microwave/millimeter-wave frequency bands. However, the RF performance of the silicon MOSFET has been degraded at higher frequencies over Ka-bands due to its intrinsic parasitic elements<sup>[6]</sup>. On the other hand, the In-GaAs PIN diode based on InP-based material has better RF performances over various FET devices because of its high cutoff frequency<sup>[7]</sup>.

In this work, InGaAs PIN diode-based Q-band MMIC phase shifters are presented by using a BCB-based multi-layer fabrication technology. To achieve the desired phase shift and good matching characteristics, the switched thin-film microstrip line topology is used. The fabricated MMIC phase shifters have good phase-shift and loss characteristics with small intrinsic chip size.

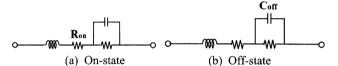


Fig. 1. Equivalent circuit of the fabricated PIN diode.

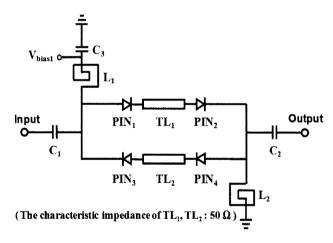


Fig. 2. Circuit configuration of a switched thin-film microstrip line phase shifter.

Table 1. Lengths of the delayed  $line(TL_1)$  and the reference  $line(TL_2)$ .

	$TL_2$	$TL_1$ for $11.25^\circ$	$TL_1$ for $22.5^{\circ}$	$TL_1$ for $45^{\circ}$	$TL_1$ for $90^{\circ}$	<i>TL</i> <sub>1</sub> for 180°
Length (μm)	260	410	555	830	1,400	2,560

Manuscript received May 29, 2009; revised August 3, 2009. (ID No. 20090529-02J)

Department of Electrical Engineering and Computer Science at Korea Advanced Institute of Science and Technology(KAIST), Daejeon, Korea.

# II. Circuit Configuration

Fig. 1 shows on- and off-state equivalent circuits of the fabricated InGaAs PIN diode. If the forward voltage is biased at a PIN diode, it can be regarded as a resistor (on-state resistance). On the other hand, when the PIN diode is biased with the reverse voltage, it functions as the capacitor(off-state capacitance). Required are small on-state resistance( $R_{on}$ ) and small off-state capacitance  $(C_{off})$ , which are essential for low insertion loss and high isolation, respectively. This InGaAs PIN diode effectively routes RF signals between the delay  $line(TL_1)$ and the reference line $(TL_2)$  in the circuit. Fig. 2 shows the circuit configuration of a switched thin-film microstrip line phase shifter proposed in this work. In order to reduce the DC power consumption, the spiral induc $tors(L_1, L_2)$  are used in the bias-network. Since impedance of the spiral inductor is very sensitive to the frequency, the value of inductance should be selected by the operating frequency of the circuit. Fig. 3 shows the simplified equivalent circuit of the spiral inductor and the simulation result of the bias-network. Since the parasitic capacitance  $(C_{parasitic})$  resonates with the main inductance( $L_{ind}$ ), the RF signal is reflected the most at the resonance frequency. Accordingly, the leakage of the RF signal through the bias-network can be minimized at

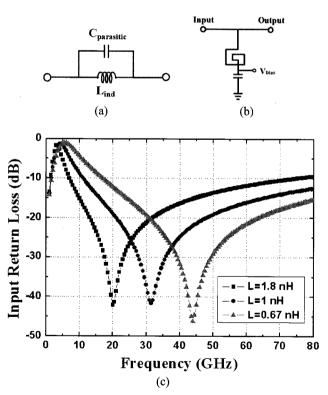


Fig. 3. (a) Simplified equivalent circuit of the spiral inductor, (b) The bias-network, (c) Simulated input return loss of the bias-network.

the resonance frequency, which is set to be equal to the center frequency of the circuit. Spiral inductors with three different values of inductance (0.67 nH, 1 nH, and 1.8 nH) and the MIM capacitor for the noise immunity in the bias-network are simulated by using the ADS (Advanced Design System) simulation tool. As shown in Fig. 3(c), the inductance of 0.67 nH indicates the best input return loss at the frequency of 45 GHz. Based on this simulation, the spiral inductor with the inductance of 0.67 nH is used in the bias-network. MIM capacitors  $(C_1, C_2)$  are used to block the DC current.

The desired phase shift is obtained by utilizing the different phase delays between the delay line( $TL_1$ ) and the reference line( $TL_2$ ). When the positive voltage is applied at  $V_{bias}$ , the PIN diodes( $PIN_1$ ,  $PIN_2$ ) on the delayed line are turned on and the PIN diodes( $PIN_3$ ,  $PIN_4$ ) on the reference line are turned off. Then, the RF signal is transmitted through the delay line. When the negative voltage is applied at  $V_{bias}$ , the PIN diodes ( $PIN_3$ ,  $PIN_4$ ) on the reference line are turned on and the signal is propagated through the reference line. Based on this circuit operation, the desired phase shift can be achieved. The lengths of the delay line( $TL_1$ ) and the reference line( $TL_2$ ) are shown in Table 1.

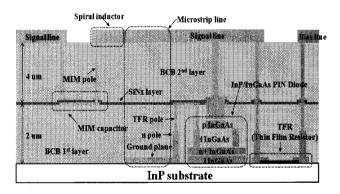


Fig. 4. Cross-sectional view of the fabricated MMIC phase shifter.

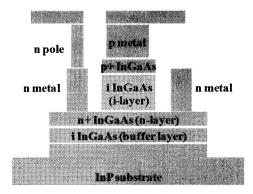


Fig. 5. Cross-sectional view of the fabricated PIN diode.

Table 2. The epi-structure of the fabricated PIN diode.

Layer	Material	Thickness	Doping level	
p <sup>+</sup> contact layer	InGaAs	500 Å	8×10 <sup>19</sup> (C)	
Intrinsic layer	InGaAs	13,000 Å	5×10 <sup>14</sup> (Si)	
n <sup>+</sup> contact layer	InGaAs	7,000 Å	3×10 <sup>19</sup> (Si)	
Buffer	InGaAs	1,000 Å	undoped	
Substrate	InP	600 Å	undoped	

# III. Fabrication Technology for InGaAs PIN Diodes and Multi-layer Structure

Fig. 4 shows the cross-sectional view of the fabricated MMIC phase shifter composed of InGaAs PIN diodes and various passive elements such as MIM capacitors, spiral inductors, and thin-film microstrip lines. Benzocyclobutene(BCB) is a dielectric material with a low dielectric constant( $\varepsilon_r$ =2.65) and a small dielectric loss (0.0008). In addition, it has low viscosity(52 cSt) and low curing temperature(210 °C). These characteristics make BCB one of the best candidates for the dielectric material. The fabrication sequence of this work is described below. Firstly, InGaAs PIN diodes were fabricated by selective chemical wet-etching and the non-alloyed metallization process. The cross-sectional view of the fabricated PIN diode and its epi-structure are shown in Fig. 5 and Table 2, respectively. The epi-structure is optimized by considering the on-state resistance and the off-state capacitance, which decide the RF performance of the PIN diode. For the p<sup>+</sup> and n<sup>+</sup> contact layer, the highest doping level is applied to achieve a small onstate resistance. The intrinsic layer is doped very low for a small off-state capacitance. The thickness of the intrinsic layer is set to be 1.3  $\mu$ m for the high switching speed. The fabricated PIN diode with p-metal size of 10×10  $\mu$  m obtained 1.6  $\Omega$  of on-resistance and 20 fF of off-capacitance, resulting in a high cut-off frequency  $(f_{cutoff}=1/2 \pi \cdot R_{on} \cdot C_{off})$  over 5 THz. The poles which connect the devices between the 1st layer and the 2nd layer were evaporated by using a thermal evaporation process(Ti/Au) for interconnection. The BCB 1st layer was spin-coated, cured, and etched after the bottom ground plane had been formed. The MIM capacitor with a capacitance value of 160 pF/mm<sup>2</sup> was formed between two BCB layers. For the second dielectric layer, BCB was spin-coated, cured, and etched. Finally, the top signal line for the microstrip line and the spiral inductor was formed with a thickness of 2  $\mu$ m. The TFMS was comprised of the bottom metal ground plane, 6  $\mu$  m- thick dielectric layers including the BCB multi-layers, and

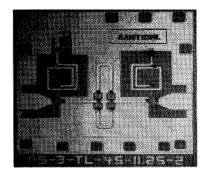


Fig. 6. Microphotograph of the fabricated 11.25° single-bit phase shifter.

the 2  $\mu$ m-thick top signal line. The width(16  $\mu$ m) and the distance(6  $\mu$ m) between the ground and the signal line were decided for the characteristic impedance of 50  $\Omega$ . The measured line loss of the fabricated microstrip line was 0.6 dB/mm at 50 GHz. In addition, the chip size is effectively minimized by using a multi-layer fabrication technology and a meandered TFMS.

# IV. Measurement Results

Five single-bit(180°, 90°, 45°, 22.5°, and 11.25°) MMIC phase shifters have been fabricated. Fig. 6 shows the microphotograph of the fabricated InGaAs PIN diodebased 11.25° single-bit phase shifter. Three MIM capacitors( $C_1$ ,  $C_2$ , and  $C_3$ ), four PIN diodes( $PIN_1$ ,  $PIN_2$ ,  $PIN_3$ , and  $PIN_4$ ), two spiral inductors( $L_1$  and  $L_2$ ), and two microstrip lines( $TL_1$  and  $TL_2$ ) are placed in the circuit as described in Fig. 2.

On a wafer, s-parameter measurements were carried out by using an Anritsu ME7808A network analyzer and a Cascade Microtech probe station. Fig. 7 shows the

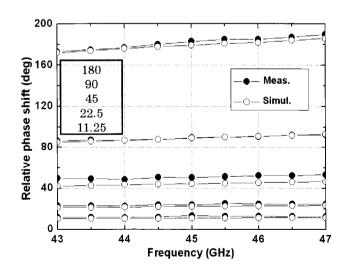


Fig. 7. Measured and simulated relative phase shift of the fabricated five MMIC single-bit phase shifters.

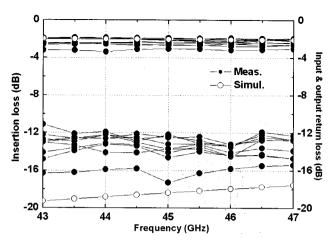


Fig. 8. Measured and simulated insertion loss and return loss of the fabricated five MMIC single-bit phase shifters.

measured relative phase shift of the fabricated MMIC phase shifters.

As shown in Fig. 7, smaller than 10° of phase error was measured at the frequency range of 43 to 47 GHz. The simulated and measured results of the phase shift are in good agreement over the same frequency range. Fig. 8 shows the measured insertion loss and input and output return loss of the fabricated MMIC phase shifters. Less than 3.4 dB of the insertion loss and better than 11 dB of the input and output return loss were measured. The measured and simulated results of loss characteristics are also in good agreement. The overall measurement results are summarized in Table 3. Table 4 shows the performance comparison of the fabricated phase shifter in this work with the other reported Ka- and Oband MMIC phase shifters. Since the compared MMIC phase shifters are the multi-bit phase shifters, the insertion loss and the chip size are divided by their bits for fair comparisons. The insertion loss per bit obtained from this work is 2.7 dB/bit, which is smaller or comparable to those of the other results as compared in Table 4. In addition, the size per bit is also found to be reduced.

Table 3. RF performance of the five single-bit phase shifters.

Target phase(°)	11.25	5 22.5 45		90	180
Measured phase(@45 GHz)	13.1	23.9	50.4	89.4	182.6
IL(dB)	<2.4	<2.2	<2.7	<3.4	<2.8
Input RL(dB)	>12.5	>12.2	>11.9	>11.1	>12.1
Chin size(mm <sup>-</sup> )		86× 86	0.92× 0.86	0.97× 0.85	1.05× 0.95

Table 4. Performance comparison of the fabricated phase shifter with the previously published Ka- and Q-band phase shifters.

Ref.	Device technology	Freq. range (GHz)	IL/bit (dB/bit)	Chip size/ bit(mm²/bit)
[4]	GaAs FET	33~35	3.6	1.25
[8]	GaAs PIN diode	33~35	3	-
[9]	GaAs pHEMT	43~45	2.5	1.87
This work	InGaAs PIN diode	43~47	2.7	0.73

#### V. Conclusion

InGaAs PIN diode-based MMIC phase shifters have been designed and fabricated by using the BCB-based multi-layer technology. All of the 180°, 90°, 45°, 22.5°, and 11.25° single-bit phase shifters were measured in the frequency range of 43 to 47 GHz. The measurement results show good agreement with simulation. Multi-bit phase shifters can be implemented by cascading the developed single-bit phase shifters.

This paper was supported by the Agency for Defense Development through the Radiowave Detection Research Center at KAIST.

#### References

- [1] Byung-Wook Min, Gabriel M. Rebeiz, "Ka-Band BiCMOS 4-bit phase shifter with integrated LNA for phased array T/R modules", *IEEE MTT-S Digest*, pp. 479-482, 2007.
- [2] Mary Teshiba, Robert Van Leeuwen, Glenn Sakamoto, and Terry Cisco, "A SiGe MMIC 6-bit PIN diode phase shifter", *IEEE Microwave and Wireless Components Letters*, vol. 12, no. 12, 2002.
- [3] Charles F. Campbell, Steven A. Brown, "A compact 5-bit phase-shifter MMIC for K-band satellite communication systems", *IEEE Transactions on Microwave Theory and Techniques*, vol. 48, no. 12, 2000.
- [4] Kenichi Maruhashi, Hiroshi Mizutani, and Kei-ichi Ohata, "Design and performance of a Ka-band monolithic phase shifter utilizing nonresonant FET switches", *IEEE Transactions on Microwave Theory and Techniques*, vol. 48, no. 8, 2000.
- [5] Inder J. Bahl, David Conway, "L- and S-band compact octave bandwidth 4-bit MMIC phase shifters",

- IEEE Transactions on Microwave Theory and Technique, vol. 56, no. 2, 2008.
- [6] Dong Woo Kang, Hui Dong Lee, Chung Hwan Kim, and Songcheol Hong, "Ku-band MMIC phase shifter using a parallel resonator with 0.18-μm CMOS technology", *IEEE Transactions on Microwave Theory and Technique*, vol. 54, no. 1, 2006.
- [7] Jung Gil Yang, Sunkyu Choi, Yongsik Jeong, and Kyounghoon Yang, "Theoretical and experimental study of the InP/InGaAs PIN diode for millimeterwave MMIC applications", IEEE International Confe-
- rence on Indium Phospide & Related Materials, pp. 133-136, 2007.
- [8] Zhang Ynghong, Feng Zhenghe, and Fan Yong "Kaband 4-bit phase shifter with low phase deviation", *IEEE International Conference on Microwave and Millimeter Wave Technology*, pp. 382-385, 1994.
- [9] M. Aust, Wang, R. Carandang, K. Tan, C. H. Chen, T. Trinh, R. Esfandiari, and H. C. Yen, "GaAs monolithic components development for Q-band phased array application", *IEEE MTT-S International Micro*wave Symp. Dig., pp. 703-706, 1992.

# Munho Kim



received the B.S. degree in electronic and electrical engineering from the Pohang University of Science and Technology (POSTECH), Pohang, Korea, in 2007, and is currently working toward M.S. degree in electrical engineering from the Korea Advanced Institute of Science and Technology(KAIST), Daejeon, Korea. His re-

search interests include RF, and microwave integrated-circuit (IC) design for wireless communications, InP/InGaAs technologies, and a focus on the analysis and design of switches, phase shifters for phased array antenna applications.

# Kyounghoon Yang



received the B.S. and M.S. degrees in electronic engineering from Seoul National University, Seoul, Korea, in 1984 and 1986, respectively. He received the Ph.D. degree in electrical engineering from the University of Michigan, Ann Arbor, in 1994. He worked as a Research Scientist from 1995 to 1999 in the Solid State Elec-

tronics Laboratory at the University of Michigan, Ann Arbor, where he was engaged in a wide spectrum of high-speed microelectronics research on compound semiconductor heterojunction devices, microwave power amplifiers, and high-speed optoelectronic devices and integrated circuits. In May 1999, he joined the Department of Electrical Engineering and Computer Science at Korea Advanced Institute of Science and Technology(KAIST), Daejeon, Korea, as an Assistant Professor. He is currently a Professor in the Department of Electrical Engineering and Computer Science at KAIST. His current research interests include the fabrication, modeling, and characterization of high speed, high functionality nano scale semiconductor devices and their integration into micro/millimeter-wave and optoelectronic digital/analog integrated circuits.

# Jung Gil Yang



received the M.S. degrees in electrical engineering from the Korea Advanced Institute of Science and Technology(KAIST), Daejeon, Korea, in 2007, and is currently working toward the Ph.D. degree at KAIST. His research interests include RF, and microwave integrated-circuit(IC) design for wireless communications, InP/In-

GaAs technologies, and a focus on the analysis and design of switches, attenuators, and phase shifters for phased array antenna applications.